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JLR  
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ) Docket Number: **K047 1180.1**  
STEVE D. SINGLETON )  
Serial No: **10/079,920** ) Art Unit: **2632**  
Filed: **February 20, 2002** ) Examiner: **Tong, Nina C.**  
For: **ASSET MANAGEMENT SYSTEM**

**FIFTH INFORMATION DISCOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

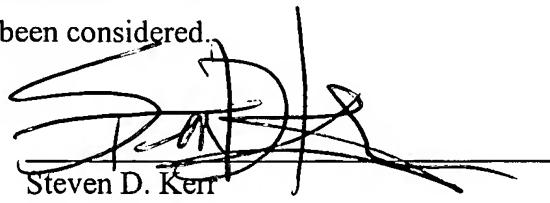
Applicant hereby voluntarily discloses the references listed on the attached Form PTO-1449 to the Commissioner of Patents and Trademarks. Pursuant to 37 CFR 1.98(a)(2)(i), copies of items A-I are not enclosed.

A copy of the reference listed on the attached Form PTO-1449 is being submitted at this seemingly late date because it only recently became known to an individual associated with the filing or prosecution of the above-identified application; a certification, as specified in paragraph (e) of 37 CFR 1.97, is enclosed herewith.

Applicant further reserves the right to establish the patentability of the claimed invention over any of the listed information should they be applied as references, and/or to prove that some of the cited information may not be prior art, and/or to prove that some of the cited information may not be enabling for the teachings they purport to offer. This statement further should not be construed as a representation that an exhaustive search has been made, or that the information cited herewith is material, or that there does not exist information more material to the examination of the present Application. The Examiner is specifically requested not to rely solely on the information submitted herein. On the contrary, the Examiner is requested to conduct an independent and thorough review of the information, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return copies of the enclosed PTO-1449 and to indicate in the official file wrapper of the above-identified patent application that each item of the cited information has been considered.

Date: Dec 6, 2007



Steven D. Kerr  
Attorney for Applicant  
Reg#32,472

File: **K047 1180.1**



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**37 CFR 1.97(e) CERTIFICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being submitted, in accordance with 37 CFR 1.97, with the Information Disclosure Statement enclosed herewith. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office regarding a related foreign application or was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Date: Dec 6, 2004



Steven D. Kerr  
Attorney for Applicant  
Reg#32,472

File: **K047 1180.1**



## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being mailed in an envelope by First Class mail to:

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P.O. Box 1450  
Alexandria, VA 22313-1450**

on 12-6-04.

Suzanne Skinner  
(Typed or printed name of person mailing correspondence)

Suzanne Skinner  
(Signature of person mailing correspondence)

Serial Number: **10/079,920** Filing Date: **February 20, 2002**  
Title: **ASSET MANAGEMENT SYSTEM**  
Our Reference Number: **K047 1180.1**

Fifth Information Disclosure Statement  
37 CFR 1.97(e) Certification  
Form PTO-1449  
Return Postcard



PTO/SB/08A (08-03)  
Substitute for Form 1-449/PTO

Application Number <b>10/079,920</b>	Filing Date <b>02/20/02</b>
First Named Inventor <b>Steve D. Singleton</b>	
Art Unit <b>2632</b>	
Examiner Name <b>Tong, Nina C.</b>	Attorney Docket Number <b>K047 1180.1</b>

### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	4,918,432	Pauley et al.	
	B	6,069,563	Kadner et al.	
	C	4,885,571	Pauley et al.	
	D	5,836,002	Morstein et al.	
	E	6,745,366	Roh et al.	
	F	5,905,653	Higham et al.	
	G	4,896,024	Morello et al.	
	H	4,967,906	Morello et al.	
	I	6,788,997	Frederick	

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

EXAMINER'S SIGNATURE:

DATE CONSIDERED: